

Affine Lie Algebras, Vertex Operator Algebras, and Multisum Identities

Technical Slides

William J. Cook

Rutgers University

Department of Mathematics

New Brunswick, NJ

`wjcook@math.rutgers.edu`

joint work with

Kailash Misra and Haisheng Li

Outline

- highest-weight integrable modules for affine Lie algebras and their VOA-module structure
- VOA-module isomorphisms and recurrence relations
- a character formula for $L(\Lambda_0)$
- principal characters and multisum identities

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$$Q = \mathbb{Z}\alpha_1 + \mathbb{Z}\alpha_2 + \dots + \mathbb{Z}\alpha_\ell.$$

- Define the **weight lattice** to be the \mathbb{Z} -span of the **fundamental weights**:

$$P = \mathbb{Z}\lambda_1 + \mathbb{Z}\lambda_2 + \dots + \mathbb{Z}\lambda_\ell.$$

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- Let $\langle \cdot, \cdot \rangle : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{C}$ be the **normalized nondegenerate symmetric invariant bilinear form**.
- We have the root space decomposition

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Delta} \mathfrak{g}_{\alpha}$$

where Δ is the set of roots.

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- By definition, $\{H_1, \dots, H_\ell\}$ and $\{\lambda_1, \dots, \lambda_\ell\}$ are dual bases (i.e. $\lambda_i(H_j) = \delta_{i,j}$).

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$$Q^\vee = \mathbb{Z}H_1 + \mathbb{Z}H_2 + \dots + \mathbb{Z}H_\ell.$$

- Define the **coweight lattice**:

$$P^\vee = \mathbb{Z}H^{(1)} + \mathbb{Z}H^{(2)} + \dots + \mathbb{Z}H^{(\ell)}.$$

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- We have that $\langle H_i, H^{(j)} \rangle = \frac{2}{\langle \alpha_i, \alpha_i \rangle}$.
- The coroots and coweights are related as follows:

$$H_j = \sum_{i=1}^{\ell} a_{ij} H^{(i)}$$

where $C = (a_{ij})$ is the Cartan matrix of \mathfrak{g} .

The Affinization of \mathfrak{g}

Define $\hat{\mathfrak{g}}$ as follows:

$$\hat{\mathfrak{g}} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}c$$

where c is central and

$$[a \otimes t^m, b \otimes t^n] = [a, b] \otimes t^{m+n} + m\langle a, b \rangle \delta_{m+n,0}c$$

for every $a, b \in \mathfrak{g}$ and $m, n \in \mathbb{Z}$.

Extend the map $d = 1 \otimes t \frac{d}{dt}$ to all of $\hat{\mathfrak{g}}$ by letting $d(c) = 0$. Let $\tilde{\mathfrak{g}} = \hat{\mathfrak{g}} \rtimes \mathbb{C}d$ be the semi-direct product Lie algebra.

$\tilde{\mathfrak{g}}$ is the (untwisted) affine Lie algebra associated with \mathfrak{g} .

Chevalley Generators for $\tilde{\mathfrak{g}}$

- Let $\theta = \sum_{i=1}^{\ell} a_i \alpha_i$ be the **highest (long) root** of \mathfrak{g} .

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- Let $e_i = E_i \otimes 1$, $f_i = F_i \otimes 1$, and $h_i = H_i \otimes 1$ for $1 \leq i \leq \ell$.
- Let $e_0 = F_\theta \otimes t$, $f_0 = E_\theta \otimes t^{-1}$, and $h_0 = [e_0, f_0]$.

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- Let $e_0 = F_\theta \otimes t$, $f_0 = E_\theta \otimes t^{-1}$, and $h_0 = [e_0, f_0]$.

Then, $\{e_i, f_i, h_i \mid 0 \leq i \leq \ell\}$ are **Chevalley generators** for $\tilde{\mathfrak{g}}$. Also, $\tilde{\mathfrak{h}} = \mathfrak{h} \oplus \mathbb{C}c \oplus \mathbb{C}d$ is our CSA.

The Homogeneous Gradation

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Thus we have the following decomposition of $\hat{\mathfrak{g}}$:

$$\hat{\mathfrak{g}} = \coprod_{n \in \mathbb{Z}} \hat{\mathfrak{g}}_{(n)} \quad \text{where} \quad \hat{\mathfrak{g}}_{(n)} = \begin{cases} \mathfrak{g} \oplus \mathbb{C}c & n = 0 \\ \mathfrak{g} \otimes t^{-n} & n \neq 0 \end{cases}$$

We have the following graded subalgebras of $\hat{\mathfrak{g}}$:

$$\hat{\mathfrak{g}}_{(\pm)} = \coprod_{n > 0} \hat{\mathfrak{g}}_{(\pm n)} = \coprod_{n > 0} \mathfrak{g} \otimes t^{\mp n}$$

and

$$\hat{\mathfrak{g}}_{(\leq 0)} = \coprod_{n \leq 0} \hat{\mathfrak{g}}_{(n)} = \hat{\mathfrak{g}}_{(-)} \oplus \hat{\mathfrak{g}}_{(0)} = \hat{\mathfrak{g}}_{(-)} \oplus \mathfrak{g} \oplus \mathbb{C}c.$$

The Principal Gradation

The second gradation we shall consider is the principal gradation.

The **principal gradation** is given by the derivation d_P which we define on the Chevalley generators of $\hat{\mathfrak{g}}$ and extend using the Leibnitz rule. For $0 \leq i \leq \ell$, let

- $d_P(e_i) = e_i$,
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Lemma: On $\hat{\mathfrak{g}}$,

$$d_P = (\text{ht}(\theta) + 1)d + \text{ad}(\rho^\vee)$$

where θ is the highest long root of \mathfrak{g} .

From \mathfrak{g} to $\tilde{\mathfrak{g}}$

Given $\lambda \in \mathfrak{h}^*$ and $k \in \mathbb{C}$, we can define a linear functional $(k, \lambda) \in \tilde{\mathfrak{h}}^*$ by the following:

- For all $h \in \mathfrak{h}$, let $(k, \lambda)(h) = \lambda(h)$.
- On $\mathbb{C}c$, let $(k, \lambda)(c) = k$.
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Recall that λ_i ($1 \leq i \leq \ell$) are the fundamental weights of \mathfrak{g} . For convenience, let $\lambda_0 = 0$. Then, define $k\Lambda_i = (k, \lambda_i)$ for $0 \leq i \leq \ell$.

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$\Lambda_0 = (1, \lambda_0) = (1, 0)$, $\Lambda_1 = (1, \lambda_1)$, ..., $\Lambda_\ell = (1, \lambda_\ell)$ are the **fundamental weights** for $\tilde{\mathfrak{g}}$.

The Verma Module $V(k, \lambda)$

Fix a complex number $k \in \mathbb{C}$ and let U be the irreducible finite dimensional \mathfrak{g} -module with highest-weight $\lambda \in P_+$. Extend the \mathfrak{g} -module, U , to a $\hat{\mathfrak{g}}_{(\leq 0)}$ -module U_k as follows:

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Define the **Verma module** (of level k and highest-weight (k, λ)) as follows:

$$V(k, \lambda) = U(\hat{\mathfrak{g}}) \otimes_{U(\hat{\mathfrak{g}}_{(\leq 0)})} U_k.$$

The Simple VOA $L(k\Lambda_0)$

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- $L(k\Lambda_0)$ ($= V(k\Lambda_0)/N$) is a simple vertex operator algebra.

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Theorem: If $k \neq -h^\vee$ and $\lambda \in P_+$, then $L(k, \lambda)$ ($= V(k, \lambda)/(\text{maximal submodule})$) is an irreducible $V(k\Lambda_0)$ -module.

Li's Theorem

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Let $H \in P^\vee$. Define

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Theorem [Li]: If $L(k, \lambda)$ is an irreducible $L(k\Lambda_0)$ -module and $H \in Q^\vee$, then

$$(L(k, \lambda), Y(\cdot, x))$$

is isomorphic to

$$(L(k, \lambda), Y(\Delta(H, x) \cdot, x))$$

as an $L(k\Lambda_0)$ -module.

The Automorphism's Effect

Notation: For $v \in L(k\Lambda_0)$, let

$$Y(v, x) = \sum_{m \in \mathbb{Z}} v(m) x^{-m-1},$$

$$Y(\Delta(H, x)v, x) = \sum_{m \in \mathbb{Z}} v_{(H)}(m) x^{-m-1}$$

and

$$Y(\Delta(H, x)\omega, x) = \sum_{m \in \mathbb{Z}} L_{(H)}(m) x^{-m-2}.$$

The Automorphism's Effect

Lemma: For $1 \leq i, j \leq \ell$,

$$(H^{(j)})_{(H_i)}(0) = H^{(j)}(0) + \frac{2}{\langle \alpha_i, \alpha_i \rangle} \delta_{i,j} k$$

$$\begin{aligned} L_{(H_i)}(0) &= L(0) + H_i(0) + \frac{2}{\langle \alpha_i, \alpha_i \rangle} \delta_{i,j} k \\ &= L(0) + \sum_{j=1}^{\ell} a_{ji} H^{(j)}(0) + \frac{2}{\langle \alpha_i, \alpha_i \rangle} \delta_{i,j} k \end{aligned}$$

Characters

Definition: Let $L(k, \lambda)$ be an irreducible $L(k\Lambda_0)$ -module.

The (full) **character** of $L(k, \lambda)$ is given by

$$\chi_{L(k, \lambda)}(x_1, x_2, \dots, x_\ell; q) = \operatorname{tr}_{L(k, \lambda)} x_1^{H^{(1)}(0)} x_2^{H^{(2)}(0)} \dots x_\ell^{H^{(\ell)}(0)} q^{L(0)}.$$

The Main Theorem

Main Theorem: If $L(k, \lambda)$ is an irreducible $L(k\Lambda_0)$ -module, then for each $1 \leq i \leq \ell$ we have that

$$\chi_{L(k, \lambda)}(x_1, x_2, \dots, x_\ell; q) =$$

$$(x_i q)^{\frac{2k}{\langle \alpha_i, \alpha_i \rangle}} \chi_{L(k, \lambda)}(x_1 q^{a_{1i}}, x_2 q^{a_{2i}}, \dots, x_\ell q^{a_{\ell i}}; q).$$

Recurrence Relations

Notation: Let

$$\mathbf{n} = (n_1, n_2, \dots, n_\ell) \in \mathbb{Z}^\ell$$

and

$$\mathbf{x}^{\mathbf{n}} = x_1^{n_1} x_2^{n_2} \dots x_\ell^{n_\ell}.$$

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Define coefficients $A(\mathbf{n}; q) \in q^{-\mu} \mathbb{C}[[q]]$ as follows:

$$\chi_{L(k, \lambda)}(x_1, x_2, \dots, x_\ell; q) = \sum_{\mathbf{n} \in \mathbb{Z}^\ell} A(\mathbf{n}; q) \mathbf{x}^{\mathbf{n}}$$

Note: $L(0) = -d + \mu$ for some $\mu \in \mathbb{C}$.

Recurrence Relations

Corollary: For $1 \leq i \leq \ell$ and $(n_1, \dots, n_\ell) \in \mathbb{Z}^\ell$,

$$A(n_1, \dots, n_\ell; q) = A\left(n_1, \dots, n_{i-1}, n_i - \frac{2k}{\langle \alpha_i, \alpha_i \rangle}, n_{i+1}, \dots, n_\ell; q\right) q^{-\frac{2k}{\langle \alpha_i, \alpha_i \rangle} + \sum_{j=1}^{\ell} a_{ji} n_j}.$$

A Special Case

Let us specialize to level $k = 1$ and \mathfrak{g} of (ADE) -type (simply laced). Then $\langle \alpha_i, \alpha_i \rangle = 2$ for all $1 \leq i \leq \ell$. So we have the following recurrence relations:

For $1 \leq i \leq \ell$ and $(n_1, n_2, \dots, n_\ell) \in \mathbb{Z}^\ell$,

$$A(n_1, \dots, n_\ell; q) =$$

$$A(n_1, \dots, n_{i-1}, n_i - 1, n_{i+1}, \dots, n_\ell; q) q^{-1 + \sum_{j=1}^{\ell} a_{ji} n_j}$$

A Sum Formula

Using the lattice construction of $L(\Lambda_0) = V_Q$ we find that

$$\begin{aligned} A(0, \dots, 0; q) &= \dim_q S(\mathfrak{h} \otimes t^{-1}\mathbb{C}[t^{-1}]) \\ &= \prod_{j=1}^{\infty} (1 - q^j)^{-\ell}. \end{aligned}$$

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Theorem: Let \mathfrak{g} be a simple Lie algebra of (ADE) -type.

$$\chi_{L(\Lambda_0)}(\mathbf{x}; q) = \prod_{j=1}^{\infty} (1 - q^j)^{-\ell} \sum_{\mathbf{n} \in \mathbb{Z}^{\ell}} q^{\frac{1}{2}\mathbf{n}C\mathbf{n}^t} \mathbf{x}^{\mathbf{n}}$$

Characters

Recall that $(\text{ht}(\theta) = \text{height of the highest long root})$

$$\begin{aligned} d_P &= (\text{ht}(\theta) + 1)d + ad(\rho^\vee) \\ &= (\text{ht}(\theta) + 1)(-L(0) + \mu) + ad(\rho^\vee) \end{aligned}$$

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Definition: Let $L(k, \lambda)$ be an irreducible $L(k\Lambda_0)$ -module.

The **principal character** of $L(k, \lambda)$ is given by

$$\begin{aligned} \chi_{L(k, \lambda)}^P(q) &= \text{tr}_{L(k, \lambda)} q^{-d_P} \\ &= q^{-(\text{ht}(\theta)+1)\mu} \chi_{L(k, \lambda)}(q^{-1}, \dots, q^{-1}; q^{\text{ht}(\theta)+1}). \end{aligned}$$

The Principal Character

Corollary: Let \mathfrak{g} be of (ADE) -type (i.e. simply laced) and $k = 1$. Then,

$$\chi_{L(\Lambda_0)}^P(q) =$$

$$\prod_{j \geq 1} (1 - q^{(\text{ht}(\theta)+1)j})^{-\ell} \sum_{\mathbf{n} \in \mathbb{Z}^\ell} q^{\frac{\text{ht}(\theta)+1}{2} \mathbf{n} C \mathbf{n}^t - \sum_{i=1}^{\ell} n_i}$$

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Thus we have obtained a multisum formula for the principal character. Now using the previously known formulas, we obtain families of multisum identities...

Type A_ℓ

Theorem: If $C = (a_{ij})$ is the Cartan matrix of type A_ℓ ($\ell \geq 1$),

$$\prod_{j \geq 1} \frac{(1 - q^{(\ell+1)j})^{(\ell+1)}}{(1 - q^j)} = \sum_{\mathbf{n} \in \mathbb{Z}^\ell} q^{\frac{\ell+1}{2} \mathbf{n} C \mathbf{n}^t - \sum_{i=1}^{\ell} n_i}.$$

Example: Type A_1

Example: For type A and rank $\ell = 1$, $C = (2)$. So we have,

$$\prod_{j \geq 1} \frac{(1 - q^{2j})^2}{(1 - q^j)} = \sum_{n \in \mathbb{Z}} q^{2n^2 - n}.$$

This is a well known formula credited to Gauss.

Example: Type A_2

Example: For type A and rank $\ell = 2$,

$$C = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}.$$

So we have,

$$\prod_{j \geq 1} \frac{(1 - q^{3j})^3}{(1 - q^j)} = \sum_{n_1, n_2 \in \mathbb{Z}} q^{3(n_1^2 - n_1 n_2 + n_2^2) - (n_1 + n_2)}.$$

Type D_ℓ

Theorem: If $C = (a_{ij})$ is the Cartan matrix of type D_ℓ ($\ell \geq 4$),

$$\prod_{j \geq 1} \frac{(1 - q^{2(\ell-1)j})^\ell}{(1 - q^{2j-1})(1 - q^{(\ell-1)(2j-1)})}$$

=

$$\sum_{\mathbf{n} \in \mathbb{Z}^\ell} q^{(\ell-1)\mathbf{n}C\mathbf{n}^t - \sum_{i=1}^\ell n_i}.$$

Example: Type D_4

Example: For type D and rank $\ell = 4$,

$$C = \begin{pmatrix} 2 & -1 & 0 & 0 \\ -1 & 2 & -1 & -1 \\ 0 & -1 & 2 & 0 \\ 0 & -1 & 0 & 2 \end{pmatrix}.$$

Example: Type D_4

Example: For type D and rank $\ell = 4$,

So we have,

$$\prod_{j \geq 1} \frac{(1 - q^{6j})^4}{(1 - q^{(2j-1)})(1 - q^{(6j-3)})}$$
$$=$$
$$\sum_{n_1, \dots, n_4 \in \mathbb{Z}} q^{6(n_1^2 + n_2^2 + n_3^2 + n_4^2 - n_1 n_2 - n_2 n_3 - n_2 n_4) - (n_1 + n_2 + n_3 + n_4)}.$$

Type E_6

Theorem: If $C = (a_{ij})$ is the Cartan matrix of type E_6 , then

$$\begin{aligned} \varphi(q^{12})^6 \prod_{j \equiv \pm 1, \pm 4, \pm 5 \pmod{12}} (1 - q^j)^{-1} \\ = \\ \sum_{\mathbf{n} \in \mathbb{Z}^6} q^{6\mathbf{n}C\mathbf{n}^t - \sum_{i=1}^6 n_i} \end{aligned}$$

where $\varphi(q) = \prod_{j \geq 1} (1 - q^j)$ is the Euler product function.

Type E_7

Theorem: If $C = (a_{ij})$ is the Cartan matrix of type E_7 , then

$$\begin{aligned} \varphi(q^{18})^7 \prod_{j \equiv \pm 1, \pm 5, \pm 7, 9 \pmod{18}} (1 - q^j)^{-1} \\ = \\ \sum_{\mathbf{n} \in \mathbb{Z}^7} q^{9\mathbf{n}C\mathbf{n}^t - \sum_{i=1}^7 n_i} \end{aligned}$$

where $\varphi(q) = \prod_{j \geq 1} (1 - q^j)$ is the Euler product function.

Type E_8

Theorem: If $C = (a_{ij})$ is the Cartan matrix of type E_8 , then

$$\begin{aligned} \varphi(q^{30})^8 \prod_{j \equiv \pm 1, \pm 7, \pm 11, \pm 13 \pmod{30}} (1 - q^j)^{-1} \\ = \\ \sum_{\mathbf{n} \in \mathbb{Z}^8} q^{15\mathbf{n}C\mathbf{n}^t - \sum_{i=1}^8 n_i} \end{aligned}$$

where $\varphi(q) = \prod_{j \geq 1} (1 - q^j)$ is the Euler product function.